

TT MiFID II Order Fields by Exchange

The customer must choose the required values in order to submit orders and ensure compliance. TT sends default values up until January 3, 2018, if no values are configured by the customer.

Mandatory fields in **BOLD**

	ICE	Eurex	EEX	NASDAQ	MEFF
Direct Electronic Access	True / False	N/A	N/A	N/A	True / False*
Trading Capacity	Deal / Match / Any other capacity	Deal / Match / Any other capacity	Deal / Match / Any other capacity	*	Deal / Match / Any other capacity
Liquidity Provision	True / False	True / False	True / False	True / False	True / False
Commodity Derivative Indicator (Risk Reduction)	True / False	True / False	True / False	True / False	Pending exchange update
Investment Decision ID	Short Code**	Short Code	Short Code	Short Code**	Short Code
Execution Decision ID	Short Code***	Short Code	Short Code	Short Code	Short Code**
Client ID	Short Code****	Short Code*	Short Code*	Short Code	Short Code**
(Additional Fields)	MIFIDID*	None	None	TraderID***	None
Notes	*MIFIDID field is optional. If used, the preceding fields for ICE are not required. Must be a numeric value. **Investment Decision is only required if Trading Capacity = Deal Note that this value must be an integer.***Note that this value must be an integer.***Client is only required if Trading Capacity = Any other capacity or Match. Note that this value must be an integer.	*The ClientID is mandatory when trading using the A-Account /Trading Capacity Agent.	*The ClientID is mandatory when trading using the A-Account /Trading Capacity Agent.	*Trading Capacity is populated as the concatenation of Tags 528 (Order Capacity) and 529 (Order Restrictions). Order Capacity — Sets the capacity of the firm placing the order. Select either Agency, Principal, or Riskless Principal. This value is sent to the exchange in Tag 528. "Riskless Principal" is required for trading Fixed Income products. Order Restrictions — Sets the restrictions associated with an order. This setting is sent to the exchange in Tag 529 for all order actions. Select one of the following: "Issuer Holding" - Requires Order Capacity set to "Agency". "Issuer Price Stabilization" - Requires Order Capacity set to "Agency". "Acting as Market Maker or Specialist in the security" - Requires Order Capacity set to "Principal". **Investment Decision is only required if Trading Capacity = Principal ***Registered Trader field contains the Trader ID registered with the exchange. For MiFID II, Direct Electronic Access (DEA) is set at the Trader ID level and registered with the exchange.	*If the order has been marked as "true" in Direct Electronic Access, then: set Client ID different from "0", Investment Decision ID equal to "0", and Trading Capacity to "AOTC". **When execution has been realized directly by the client, then: set Direct Electronic Access to "true", Client ID to different from "0", Investment Decision ID equal to "0", and Trading Capacity to "AOTC". ***If the Client Identification Code is "0", then: set Investment Decision ID to non-zero, Trading Capacity to DEAL or MTCH. If the Client ID is not "0", then set Trading Capacity to "AOTC" or "MTCH."
	Euronext	LME			
Direct Electronic Access	True / False*	True / False			
Trading Capacity	Deal / Match / Any other capacity	Deal / Match / Any other capacity			
Liquidity Provision	N/A**	True / False			
Commodity Derivative Indicator (Risk Reduction)	True / False***	True / False			
Investment Decision ID	Short Code****	Short Code			
Execution Decision ID	Short Code	Short Code			
Client ID	Short Code*****	Short Code			
(Additional Fields)	None	ClientID (House)*, Client Country**, Decision Maker***			
Notes	*Mandatory if Account Code is Client or House **Uses existing Account Type configured in Setup ***Mandatory if the instrument is a commodity derivative or warrants with a commodity underlying ****Mandatory if Account Code is Market Maker, Give Up, or House. Optional otherwise *****Mandatory if Account Code is Client or if DEA	*Alphanumeric. Required only for LME Precious products on Client Orders where tag 581 (AccountType) =1 or 3. **Identifies the location of the client. Select a country from the drop-down list. This setting is required if the Account Type is either "Client ISA" or "Client OSA". ***Enter the short code of the third-party investment decision maker if applicable. This setting is required if the Account Type is either "Client ISA" or "Client OSA".			